

<b>Name:</b>	Christopher Chung
<b>Presentation Title:</b>	Planning & Executing Audits
<b>Abstract:</b>	
<p>This session will primarily focus on how to plan an IS Audit. Very specifically, the attendees will understand how to interpret ISACA standards for planning an IS Audit and will get a real life insight on how external auditors use this on a day on day basis. This understanding will help the attendees plan, organize and prepare for IS Audits. The speaker will touch on various ISACA standards and guidelines including:</p> <ul style="list-style-type: none"> <li>• S1 and G5- Audit Charter</li> <li>• S5 and G15 - Planning</li> <li>• S11 and P1 - Use of risk assessments in Audit Planning</li> <li>• S12 &amp; G6- Audit Materiality</li> </ul>	
<b>Target Audience:</b>	
<p>This introductory level course is appropriate for any individual who wants to understand how to plan an IS audit using the standards that are prescribed by ISACA.</p>	
<b>COBIT Objectives:</b>	
<p>PO9, PO10, ME2,ME3</p>	
<b>Speaker Bio:</b>	
<p>Christopher is a Manager in the Systems and Process Assurance practice with PricewaterhouseCoopers. He has worked on a number of clients, in a variety of industries, and currently manages both Channel 1 (Assurance) and Channel 2 (Advisory) engagements, including those accounts in the PwC Top 50 (T50). His range of experience includes leading engagements relating to Sarbanes Oxley Section 404 around business process and Information Technology General Controls as well as leading Channel 2 engagements to provide guidance to clients preparing to meet compliance requirements (e.g. Sarbanes Oxley Section 404).</p> <p>Recently, Christopher assisted clients in refining the approach to Sarbanes Oxley Section 404 compliance through applying a top down, risk based approach to refining internal controls in order to create a more sustainable 404 process and gain efficiencies in year 2 and beyond. This included performing a risk assessment around the client's financials, applying Company (Entity) Level Controls (CLCs), refining narratives, flowcharts, and control matrices, and providing recommendations for operational resilience, compliance, and improved efficiency.</p> <p>Christopher has extensive experience in providing guidance to clients on managing risks in the IT space including Information Technology General Controls related to Access to Programs and Data, Program Development and Implementation, Program Changes, and Computer Operations.</p>	